

Title: PHS 2010-02 Omnibus Solicitation of the NIH for Small Business Technology Transfer Grant Applications (Parent STTR [R41/R42])

PA#: PA-10-051

Link: <http://grants.nih.gov/grants/guide/pa-files/PA-10-051.html>

Due Dates: April 5, 2010, August 5, 2010, and December 5, 2010

Eligible Institutions: Only U.S. SBCs are eligible to submit and receive STTR grants

Purpose:

The STTR program is structured in three phases, the first two of which are supported using STTR funds. The objective of Phase I is to establish the technical/scientific merit and feasibility of the proposed R/R&D efforts. The objective of Phase II is to continue the research or R&D efforts initiated in Phase I. An objective of the STTR program is to increase private sector commercialization of innovations derived from Federal R/R&D. The objective of Phase III, where appropriate, is for the SBC to pursue with non-STTR funds (either Federal or non-Federal) the commercialization objectives resulting from the results of the R/R&D funded in Phases I and II. In some Federal agencies, Phase III may involve follow-on, non-STTR funded R&D, or production contracts for products or processes intended for use by the U.S. Government.

The competition for STTR Phase I and Phase II awards satisfies the competition requirement of the Armed Services Procurement Act, the Federal Property and Administrative Services Act, and the Competition in Contracting Act. Therefore, an agency that wishes to fund an STTR Phase III project is not required to conduct another competition in order to satisfy those statutory provisions. As a result, in conducting actions relative to a Phase III STTR award, it is sufficient to state for purposes of a Justification and Approval pursuant to FAR 6.302-5 that the project is a STTR Phase III award that is derived from, extends, or logically concludes efforts performed under prior STTR funding agreements and is authorized under 10 U.S.C. 2304(b)(2) or 41 U.S.C. 253(b)(2).

This Funding Opportunity Announcement (FOA) issued by the National Institutes of Health (NIH), invites eligible United States small business concerns (SBCs) to submit Small Business Technology Transfer (STTR) Phase I, Phase II, Fast-Track, and Phase II Competing Renewal grant applications.

The [PHS 2009-2 SBIR/STTR Program Descriptions and Research Topics for NIH](#), represent scientific program areas that may be of interest to applicant SBCs in the development of projects that have potential for commercialization. Small business concerns that have the research capabilities and technological expertise to contribute to the R&D mission(s) of the [NIH awarding components](#) identified in this FOA are encouraged to submit STTR grant applications in these areas. STTR grant applications will also be accepted and considered in any area within the mission of the Components of Participating Organizations listed for this FOA. In addition to the general research areas described above some NIH awarding components have identified additional, specific STTR funding opportunities of potential interest to SBCs. See the [NIH Special Announcements for Small Business Research Opportunities](#). Applicants are not required to identify a potential awarding component prior to submission of the application. Staff within the NIH's Center for Scientific Review (CSR) office, the single receiving point for all NIH grant applications, will assign all applications to the most appropriate Institute/Center (IC) based on their mission and the science proposed.

Budget and Period of Performance:

Phase I awards normally may not exceed \$100,000 total for a period normally not to exceed 1 year. Phase II awards normally may not exceed \$750,000 total for a period normally not to exceed 2 years. These award levels and project periods are statutory guidelines, not ceilings. Therefore, applicants are encouraged to propose a budget and project duration period that are reasonable and appropriate for completion of the research project. Phase II Competing Renewal budgets must be submitted in

accordance with participating IC-specific budget limitations described in the current [SBIR/STTR Program Descriptions and Research Topics](#) for NIH.